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PATENT

Patent Docket No.: UCSF1100-3

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FEB-28-2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Javier Farinas

Serial No.: 09/403,882

Filed: March 20, 2000

Title: METHODS AND REAGENTS FOR TARGETING ORGANIC COMPOUNDS  
TO SELECTED CELLULAR LOCATIONS

Art Unit: 1644

Examiner P. Huynh

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Commissioner for Patents  
Washington, D.C. 20231

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TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application please find the following:

1. Response to Restriction for Requirement (1 page);
2. Copy of Notice to Comply with Sequence Listing Requirements (1 page);
3. Response to Notice to Comply with Sequence Listing Requirements (2 pages);
4. Paper Copy of Sequence Listing (5 pages);
5. Computer-readable format Sequence Listing (1 disk);
6. Statement of Lisa A. Haile Under 37 C.F.R. §§ 1.821(f) and (g) (1 page);
7. Verified Statement Under 37 C.F.R. § 1.821(f) (1 page); and
8. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on 2-15-01 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

*Margaret J. Pierce*  
Margaret J. Pierce

No fee is deemed necessary in connection with this transaction. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

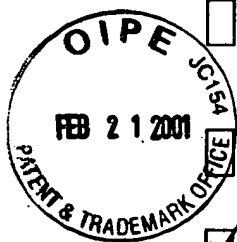
Date: February 15, 2001

By: Richard J. Timber <sup>Reg. No. 37,643</sup>  
for: Lisa A. Haile, Ph.D.  
Reg. No. 38,347

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# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

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## Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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For CRF Submission Help, call (703) 308-4212

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